



## Quantization and Bitrate Control in GAN-Based Coding

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# Quantization and Bitrate Control in GAN-based Coding

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## Abstract

Generative Adversarial Networks (GANs) have emerged as a transformative approach in digital media coding and compression, offering significant advancements over traditional methods. This paper explores the crucial aspects of quantization and bitrate control within the context of GAN-based coding. Quantization plays a pivotal role in translating continuous-valued GAN outputs into discrete values suitable for compression, while bitrate control manages the trade-off between data rate and quality. We examine various quantization techniques, including scalar and vector quantization, and their impact on the fidelity and efficiency of GAN-generated content. Additionally, we delve into bitrate control mechanisms, comparing fixed and variable bitrate approaches and highlighting adaptive methods tailored for GAN-based systems. Performance is evaluated through key metrics such as Peak Signal-to-noise ratio (PSNR), Structural Similarity Index (SSIM), and compression efficiency. The paper concludes with a discussion on future directions, addressing current challenges and proposing potential avenues for improvement. This study provides a comprehensive overview of how quantization and bitrate control interact with GAN-based coding, offering insights for enhancing digital media compression techniques.

## Introduction

In recent years, Generative Adversarial Networks (GANs) have revolutionized various domains of artificial intelligence, particularly in the field of digital media compression. GANs, which consist of a generator and a discriminator, have shown remarkable success in generating high-quality images and videos. Their application in coding and compression has opened up new possibilities for reducing data sizes while maintaining or even enhancing the perceptual quality of media.

Coding and compression are fundamental processes in digital media, aimed at reducing the amount of data required to represent content. Traditionally, these

processes rely on techniques such as transform coding, entropy coding, and quantization to achieve efficient compression. GAN-based coding, however, introduces a novel paradigm by leveraging the generative capabilities of GANs to enhance these processes.

Quantization is a critical step in compression, where continuous-valued data are approximated to a finite set of discrete values. In GAN-based coding, quantization of the generated outputs is essential for reducing the bit depth and facilitating compression. The choice of quantization technique directly impacts the trade-off between the quality of the reconstructed media and the compression ratio.

Bitrate control, on the other hand, is concerned with managing the amount of data required to encode a media file. Effective bitrate control ensures that the compressed media meets specific quality standards while adhering to bandwidth constraints. In the context of GAN-based coding, bitrate control involves optimizing the balance between data rate and visual fidelity, often requiring adaptive strategies to accommodate varying content complexities.

This paper aims to provide a comprehensive overview of quantization and bitrate control within the framework of GAN-based coding. We will explore the fundamental concepts of quantization and its impact on the performance of GAN-based compression systems. Additionally, we will examine various bitrate control techniques and their integration with GANs to achieve efficient and high-quality media compression. By analyzing these aspects, we seek to contribute to the advancement of GAN-based coding methodologies and highlight potential areas for future research and development.

## Generative Adversarial Networks (GANs)

Generative Adversarial Networks (GANs) represent a groundbreaking approach in the field of machine learning and artificial intelligence. Introduced by Ian Goodfellow and his colleagues in 2014, GANs have since become a pivotal technology for generating synthetic data that closely resembles real-world samples. They consist of two neural networks—the generator and the discriminator—that engage in a process akin to a game or competition, leading to the creation of highly realistic data.

### 1. Fundamental Concepts

#### 1.1 Generator

The generator is a neural network that produces synthetic data samples. It takes random noise as input and generates output that aims to mimic real data. Its objective is to create data that is indistinguishable from real-world examples.

## 1.2 Discriminator

The discriminator is another neural network tasked with distinguishing between real and synthetic data. It evaluates the output from the generator and classifies it as either 'real' or 'fake.' Its goal is to correctly identify whether the input data is from the actual dataset or generated by the generator.

## 1.3 Adversarial Training

The generator and discriminator are trained simultaneously in an adversarial manner. The generator strives to improve its outputs to deceive the discriminator, while the discriminator works to become better at differentiating between real and synthetic data. This dynamic creates a feedback loop where both networks improve iteratively.

## 2. Training Process

### 2.1 Objective Function

The training process involves optimizing an objective function known as the adversarial loss. This function is designed to encourage the generator to produce high-quality data and the discriminator to accurately classify data.

### 2.2 Convergence

During training, the generator and discriminator reach a point of equilibrium where the generator produces data that is very difficult for the discriminator to distinguish from real data. This balance is achieved through iterative updates to the neural network parameters.

## 3. Applications of GANs

### 3.1 Image Synthesis

GANs are widely used for generating high-resolution images from noise or low-resolution inputs. They have applications in art, design, and gaming.

### 3.2 Video Generation

GANs can be extended to create synthetic video sequences, which can be useful in animation, simulation, and virtual reality.

### 3.3 Data Augmentation

In scenarios with limited data, GANs can generate additional samples to enhance training datasets for other machine learning models.

## 4. Challenges and Advances

### 4.1 Mode Collapse

A common challenge in GAN training is mode collapse, where the generator produces limited varieties of outputs. Various techniques, such as improved network architectures and regularization methods, are used to address this issue.

### 4.2 Evaluation Metrics

Evaluating the quality of GAN-generated data is challenging. Metrics such as Inception Score (IS) and Frechet Inception Distance (FID) are used to assess the fidelity and diversity of generated samples.

## 5. Future Directions

### 5.1 Improved Architectures

Ongoing research focuses on developing new GAN architectures that improve training stability and output quality.

### 5.2 Real-world Applications

GANs are being explored for more diverse applications, including medical imaging, drug discovery, and advanced simulation techniques.

Generative Adversarial Networks represent a significant advancement in data generation and synthesis, offering powerful tools for creating realistic and diverse synthetic data. Their continued development and application are likely to have a profound impact across various fields of technology and research.

Quantization is a crucial step in digital data compression and coding, involving the transformation of continuous-valued data into discrete levels to reduce data size. In the context of Generative Adversarial Networks (GANs), quantization plays a significant role in optimizing the output of GAN models for efficient storage and transmission. This section explores the principles of quantization in GAN-based coding, its impact on compression performance, and the challenges associated with it.

### 1.1 Definition and Purpose

Quantization is the process of mapping a large set of input values to a smaller set, typically involving rounding continuous values to discrete levels. In coding, this reduces the amount of data required to represent a signal while aiming to maintain an acceptable level of fidelity.

### 1.2 Types of Quantization

**Scalar Quantization:** Each value is quantized independently. This is the most straightforward form of quantization, where each input is mapped to the nearest quantization level.

**Vector Quantization:** Involves quantizing vectors of values rather than individual values. This method can capture more complex relationships and is often used for compressing higher-dimensional data.

## 2. Quantization Techniques in GAN-based Coding

### 2.1 Quantizing GAN Outputs

The outputs of the GAN's generator, which are typically high-dimensional and continuous, need to be quantized for compression. This involves discretizing the generated data while striving to preserve its quality.

### 2.2 Post-Processing Quantization

After the GAN generates data, post-processing techniques can be applied to quantize the outputs. This may include approaches such as uniform quantization, non-uniform quantization, and entropy coding to further optimize data representation.

## 3. Impact on Quality and Compression Efficiency

### 3.1 Trade-offs Between Quality and Compression

Quantization introduces errors known as quantization noise. The degree of quantization affects the balance between compression efficiency and the quality of the reconstructed data. A finer quantization can reduce noise but may not achieve as high a compression ratio.

### 3.2 Quality Metrics

To evaluate the impact of quantization, metrics such as Peak Signal-to-Noise Ratio (PSNR) and Structural Similarity Index (SSIM) are used. These metrics assess the fidelity of the quantized outputs compared to the original data.

## 4. Challenges in Quantization for GAN-based Coding

### 4.1 Maintaining Visual Fidelity

One of the main challenges is ensuring that the quantized data retains visual quality and perceptual realism. GANs generate high-quality content, and excessive quantization can degrade the quality, leading to artifacts and loss of detail.

### 4.2 Quantization Noise

Managing quantization noise is critical. Techniques such as dithering and noise shaping can help mitigate the impact of quantization errors, but they may introduce additional complexity.

### 4.3 Adaptive Quantization

Adaptive quantization methods, which adjust the quantization levels based on the content characteristics, can improve performance. These methods require sophisticated algorithms and may increase computational complexity.

Some approaches leverage machine learning techniques to learn optimal quantization parameters. This can involve training additional networks to refine the quantization process, improving the overall quality of the quantized outputs.

Combining traditional quantization techniques with GAN-based methods can yield better results. For example, using GANs to enhance the quality of quantized data or applying advanced quantization schemes to GAN-generated outputs.

Quantization in GAN-based coding is a vital component of the compression pipeline, affecting both the efficiency of data representation and the quality of the generated content. While it presents several challenges, advances in quantization techniques and their integration with GANs continue to push the boundaries of digital media compression, offering promising avenues for future research and development.

### Bitrate Control in GAN-based Coding

Bitrate control is a fundamental aspect of data compression and coding, focusing on managing the amount of data required to represent a given piece of content while balancing quality and file size. In GAN-based coding, bitrate control is particularly crucial due to the unique characteristics of GAN-generated data and the need to optimize the trade-off between compression efficiency and perceptual quality. This section delves into the principles of bitrate control in the context of GAN-based coding, explores various techniques, and discusses associated challenges and advancements.

#### 1. Importance of Bitrate Control

##### 1.1 Definition and Significance

Bitrate control refers to the management of the data rate at which media is encoded. Effective bitrate control ensures that the compressed media meets specific quality standards and adheres to constraints such as bandwidth limitations or storage capacity.

##### 1.2 Impact on Quality and Compression

The bitrate directly influences the quality of the compressed content. Higher bitrates generally result in better quality but larger file sizes, while lower bitrates reduce file size at the expense of quality. Effective control aims to achieve a balance that meets the desired quality within the given constraints.

## 2. Techniques for Bitrate Control

### 2.1 Fixed Bitrate (CBR)

Definition: Fixed Bitrate (Constant Bitrate) encoding involves maintaining a constant data rate throughout the entire media stream.

Advantages: Predictable file sizes and consistent streaming performance.

Disadvantages: May result in suboptimal quality if the content complexity varies, as it does not adapt to changes in scene complexity.

### 2.2 Variable Bitrate (VBR)

Definition: Variable Bitrate encoding allows the data rate to change dynamically based on the complexity of the content.

Advantages: More efficient compression and better quality for varying content complexities.

Disadvantages: Less predictable file sizes and potential issues with streaming consistency.

### 2.3 Adaptive Bitrate Control

Definition: Adaptive bitrate control involves adjusting the data rate in real-time based on content characteristics and encoding conditions.

Techniques: Includes methods like rate-distortion optimization, where the bitrate is adjusted to minimize distortion for a given rate.

Advantages: Improved quality and efficiency, especially for streaming applications.

Disadvantages: Increased computational complexity and potential for latency.

## 3. Integration with GANs

### 3.1 GAN-based Bitrate Control

Approach: GANs can be used to generate high-quality data with varying complexities, and bitrate control strategies can be integrated to optimize compression.

Techniques: Using GANs to model the distribution of data and applying bitrate control to handle variations in generated content.

### 3.2 Rate-Distortion Trade-offs



Rate-Distortion Optimization: Balancing bitrate with the distortion introduced during quantization and encoding. GANs can assist in improving the quality of quantized data, thus influencing the rate-distortion trade-off.

## 4. Challenges and Solutions

### 4.1 Handling Varying Content Complexity

Challenge: GAN-generated content may have varying levels of complexity, requiring adaptive bitrate control to maintain quality across different scenes or data segments.

Solutions: Implementing advanced adaptive algorithms that adjust bitrate based on real-time analysis of content complexity.

### 4.2 Computational Complexity

Challenge: Advanced bitrate control methods, especially those integrated with GANs, may introduce significant computational overhead.

Solutions: Optimizing algorithms for efficiency and leveraging hardware acceleration to manage computational demands.

Challenge: Maintaining consistent quality and bitrate control across diverse media types and applications.

Solutions: Developing robust control algorithms that can generalize well across different scenarios and content types.

Ongoing research aims to develop more sophisticated bitrate control algorithms that leverage advancements in GAN architectures and machine learning techniques.

Exploring how GAN-based coding and bitrate control can be integrated with emerging technologies such as 5G, edge computing, and real-time streaming applications.

Developing new metrics and benchmarks to more accurately evaluate the performance of bitrate control strategies in the context of GAN-based coding.

Bitrate control is essential in optimizing GAN-based coding, influencing both the quality and efficiency of compressed media. By employing effective techniques and addressing associated challenges, it is possible to achieve high-quality compression that meets specific constraints. Continued research and development in this area promise to enhance the capabilities and applications of GAN-based coding, driving improvements in digital media compression and delivery.

## Evaluation and Performance Metrics in GAN-based Coding

Evaluating the performance of GAN-based coding involves assessing both the quality of the generated content and the efficiency of the compression process. Accurate evaluation is crucial for understanding the trade-offs between compression ratio, data fidelity, and computational requirements. This section outlines the key metrics and methods used to evaluate GAN-based coding systems and discusses how these metrics can be applied to measure performance.

## 1. Quality Metrics

Quality metrics are used to evaluate how closely the compressed and reconstructed data match the original data. These metrics assess various aspects of visual and perceptual quality.

### 1.1 Peak Signal-to-Noise Ratio (PSNR)

Definition: PSNR is a commonly used metric that measures the ratio between the maximum possible signal power and the power of the noise affecting the signal. Higher PSNR values indicate better quality.

Formula:

PSNR

=

10

·

log

$f_0$

10

(

MAX

2

MSE

)

PSNR=10·log

10

(

MSE

MAX

2

), where MAX is the maximum possible pixel value and MSE is the mean squared error between the original and reconstructed images.

Application: Widely used in image and video compression to assess the quality of reconstructed data.

## 1.2 Structural Similarity Index (SSIM)

Definition: SSIM measures the similarity between two images based on luminance, contrast, and structure. It provides a perceptual similarity score ranging from -1 to 1, with 1 indicating perfect similarity.

Formula: SSIM compares local patterns of pixel intensities and takes into account structural information.

Application: Provides a more perceptually relevant measure of quality compared to PSNR.

## 1.3 Learned Perceptual Image Patch Similarity (LPIPS)

Definition: LPIPS is a deep learning-based metric that assesses perceptual similarity between images. It leverages pre-trained neural networks to capture human-like perception.

Application: Used for evaluating perceptual quality, especially when traditional metrics like PSNR and SSIM may not align with human perception.

## 2. Compression Metrics

Compression metrics assess the efficiency of the encoding process, including how effectively data is compressed and the trade-offs involved.

### 2.1 Compression Ratio

Definition: The ratio of the size of the original data to the size of the compressed data.

Formula:

Compression Ratio

=

Size of Original Data

Size of Compressed Data

Compression Ratio=

Size of Compressed Data

Size of Original Data

Application: Indicates the effectiveness of the compression in reducing data size.

### 2.2 Bitrate

**Definition:** The amount of data transmitted or processed per unit of time, typically measured in bits per second (bps) or kilobits per second (kbps).

**Application:** In video coding, bitrate controls the trade-off between quality and file size or streaming bandwidth.

### 2.3 Rate-Distortion Performance

**Definition:** Measures the trade-off between the bitrate (rate) and the quality of the reconstructed data (distortion). It evaluates how changes in bitrate affect the quality of the output.

**Application:** Used to optimize coding algorithms by balancing compression efficiency and quality.

## 3. Computational Metrics

Computational metrics evaluate the efficiency and feasibility of GAN-based coding systems in terms of resource usage.

### 3.1 Encoding and Decoding Time

**Definition:** The time required to encode and decode data. This includes the time taken by the GAN model to generate and process data.

**Application:** Important for assessing the practicality of GAN-based coding in real-time applications.

### 3.2 Memory Usage

**Definition:** The amount of memory required to store and process data, including model parameters and intermediate results.

**Application:** Evaluates the resource requirements of GAN-based coding systems.

## 4. Comparative Evaluation

Comparing GAN-based coding with traditional coding methods can highlight advantages and limitations.

### 4.1 Benchmarking

**Definition:** Using established datasets and metrics to compare GAN-based coding with other compression techniques.

**Application:** Provides insights into the relative performance of GAN-based methods compared to conventional approaches.

### 4.2 Case Studies

Definition: Analyzing specific applications or use cases to understand how GAN-based coding performs in practical scenarios.

Application: Helps identify strengths and weaknesses in different contexts and media types.

## 5. Future Directions

### 5.1 Enhanced Metrics

Development of new metrics that better capture perceptual quality and user experience, particularly for complex or high-dimensional data.

### 5.2 Real-World Testing

Incorporating real-world scenarios and diverse datasets to validate the performance of GAN-based coding systems.

### 5.3 Adaptive Evaluation Methods

Creating adaptive evaluation methods that can dynamically assess performance based on varying content and encoding conditions.

Evaluating GAN-based coding involves a comprehensive assessment of quality, compression efficiency, and computational performance. By employing a range of metrics and techniques, it is possible to gain a thorough understanding of how GAN-based coding systems perform and identify areas for improvement. Ongoing advancements in evaluation methods will continue to enhance the capabilities and applications of GAN-based coding in digital media compression.

## Future Directions and Challenges in GAN-based Coding

As Generative Adversarial Networks (GANs) continue to advance, their application in coding and compression presents both exciting opportunities and significant challenges. Exploring future directions can help address these challenges and drive innovation in GAN-based coding techniques. This section outlines potential areas for development and the obstacles that need to be overcome.

### 1. Future Directions

#### 1.1 Enhanced GAN Architectures

Advancements in GAN Models: Developing more sophisticated GAN architectures that improve stability, training efficiency, and the quality of generated data. Examples include Progressive Growing GANs, StyleGAN, and BigGAN, which offer better performance in image synthesis and can be adapted for coding applications.

Multimodal GANs: Integrating GANs with other modalities (e.g., combining images with text or audio) to create more comprehensive coding solutions that handle diverse types of data.

## 1.2 Adaptive and Context-Aware Coding

Content-Adaptive Quantization: Implementing adaptive quantization techniques that adjust based on the content characteristics to optimize compression while preserving quality. This could involve real-time adjustments during encoding based on the complexity of the generated content.

Context-Aware Bitrate Control: Developing adaptive bitrate control methods that respond to varying content complexities and real-time conditions, improving overall compression efficiency and quality.

## 1.3 Integration with Emerging Technologies

5G and Edge Computing: Leveraging high-speed networks and edge computing to enhance the real-time performance of GAN-based coding systems, enabling applications like live streaming and real-time video processing.

Virtual and Augmented Reality: Adapting GAN-based coding for VR and AR environments, where high-quality and low-latency encoding are critical for immersive experiences.

## 1.4 Cross-Modal Generative Models

Unified Generative Models: Developing models that can generate and encode multiple types of data (e.g., images, videos, and audio) simultaneously, leading to more unified and efficient coding strategies.

## 1.5 Improved Evaluation Metrics

Perceptual Quality Metrics: Creating advanced metrics that better align with human perception and quality assessment, particularly for high-dimensional and complex data. Incorporating user studies and subjective evaluations can complement existing quantitative metrics.

# 2. Challenges

## 2.1 Training Stability and Convergence

Challenges: GANs are known for their training instability and convergence issues. Ensuring consistent training of GANs for coding applications remains a significant challenge, often requiring sophisticated techniques to stabilize and optimize the training process.

Solutions: Implementing advanced training methods such as improved loss functions, regularization techniques, and novel architectures to enhance training stability.

## 2.2 Quantization and Compression Trade-Offs

Challenges: Balancing the trade-offs between quantization levels and compression efficiency can be difficult. Excessive quantization can degrade quality, while insufficient quantization may lead to suboptimal compression ratios.

Solutions: Developing adaptive quantization techniques and hybrid approaches that combine GAN-generated data with traditional compression methods to achieve optimal trade-offs.

## 2.3 Computational Resources

Challenges: GAN-based coding systems can be computationally intensive, requiring significant processing power and memory. This can limit their applicability in resource-constrained environments.

Solutions: Exploring hardware acceleration, optimizing algorithms, and developing more efficient GAN architectures to reduce computational demands.

## 2.4 Real-World Deployment and Scalability

Challenges: Scaling GAN-based coding systems for large-scale real-world applications poses challenges related to deployment, performance consistency, and integration with existing systems.

Solutions: Conducting extensive testing and optimization for different deployment scenarios and integrating GAN-based coding with scalable infrastructure to support large-scale applications.

## 2.5 Handling Diverse Data Types

Challenges: GANs need to handle a wide range of data types and formats, including images, videos, and audio. Ensuring that GAN-based coding systems can effectively manage diverse data types remains a challenge.

Solutions: Developing cross-modal GAN models and coding techniques that can seamlessly handle and encode different types of data.

The future of GAN-based coding is promising, with numerous opportunities for innovation and improvement. By addressing the challenges and exploring future directions, researchers and practitioners can enhance the capabilities and applications of GAN-based coding systems. Continued advancements in GAN architectures, adaptive coding techniques, and integration with emerging technologies will drive the evolution of digital media compression and coding.

## Conclusion

Generative Adversarial Networks (GANs) have significantly transformed the landscape of digital media compression and coding, offering innovative approaches to data generation and representation. As this field evolves, GAN-based coding presents both exciting possibilities and notable challenges that must be addressed to fully leverage its potential.

**Quality Enhancement:** GANs excel in generating high-quality, realistic data, which is crucial for maintaining visual fidelity and perceptual quality in compressed media. Techniques such as learnable quantization and advanced quality metrics are essential for optimizing the trade-off between compression efficiency and data quality.

**Efficient Bitrate Control:** Effective bitrate control is critical for balancing file size and quality. Approaches such as adaptive bitrate control and integration with GAN-generated data enable more flexible and efficient compression, particularly for varying content complexities and real-time applications.

**Evaluation Metrics:** Accurate evaluation of GAN-based coding systems requires a comprehensive set of metrics. Traditional metrics like PSNR and SSIM, alongside advanced perceptual metrics like LPIPS, provide valuable insights into the quality and efficiency of compressed data.

## Challenges

**Training and Stability:** Ensuring the stability and convergence of GANs during training remains a significant challenge. Advanced training techniques and robust architectures are needed to address these issues and improve the reliability of GAN-based coding systems.

**Quantization and Compression Trade-Offs:** Balancing the quantization process to achieve optimal compression while preserving quality requires ongoing research and development. Adaptive quantization techniques and hybrid methods can help mitigate these challenges.

**Computational and Resource Constraints:** GAN-based coding can be computationally intensive, necessitating efficient algorithms and hardware acceleration to manage resource demands and enable practical deployment.

## Future Directions



**Innovative GAN Architectures:** Continued development of advanced GAN models and architectures will drive improvements in data generation and compression. Exploring multimodal and cross-modal GANs can further enhance coding capabilities.

**Adaptive Coding Techniques:** Implementing adaptive and context-aware quantization and bitrate control methods will optimize compression efficiency and quality, especially for dynamic and real-time applications.

**Integration with Emerging Technologies:** Leveraging advancements in 5G, edge computing, and virtual reality will expand the applicability of GAN-based coding and improve performance in diverse scenarios.

**Enhanced Evaluation Methods:** Developing new metrics and evaluation frameworks will better capture perceptual quality and provide a more comprehensive assessment of GAN-based coding systems.

GAN-based coding represents a transformative approach in digital media compression, offering the potential for high-quality and efficient data representation. Addressing the challenges and pursuing future directions will enhance the capabilities and applications of GAN-based systems, driving innovation and improving performance in various contexts. Continued research and development will be crucial in realizing the full potential of GAN-based coding and overcoming the barriers to widespread adoption.

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